IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jones et al.	:	**Group Art Unit:** 3694
Serial No.: 10/677,838	:	**Examiner:** Trammell
Filed: October 2, 2003	:	Attorney Docket No.: 006878.116901
For: METHODS, SYSTEMS AND SECURITIES FOR ASSURING A COMPANY AN OPPORTUNITY TO SELL STOCK AFTER A SPECIFIED TIME	:	

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
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P.O. Box 1450
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Sir:

This Information Disclosure Statement is filed pursuant to 37 C.F.R. 1.97(b)(3). In this regard, it is noted that there has not yet been a mailing of a first Office Action on the merits in the above-identified application.

Of further note, no representation is intended that a complete search has been made by the applicants or that no better art references than those provided herewith are available. Moreover, the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,
GREENBERG TRAURIG, LLP

Dated: January 30, 2007

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PTO/SB/08a (09-06)
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 3

Complete if Known	
Application Number	10/677,838
Filing Date	October 2, 2003
First Named Inventor	Jones
Art Unit	3694
Examiner Name	Trammell
Attorney Docket Number	006878.11690

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Document Number Number-Kind Code[2] (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Foreign Patent Document Country Code[3] Number[4] Kind Code[5] (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T[6]

Examiner Signature	/Mohammad Shaikh/	Date Considered	09/13/2007

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Sheet 2 of 3

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Application Number	10/677,838
Filing Date	October 2, 2003
First Named Inventor	Jones
Art Unit	3694
Examiner Name	Trammell
Attorney Docket Number	006678.116700

U.S. PATENT DOCUMENTS

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Sheet 3 of 3

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Filing Date	October 2, 2003
First Named Inventor	Jones
Art Unit	3694
Examiner Name	Trammell
Attorney Docket Number	006878.116901

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T[2]
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